



**Recycling Program
Enforcement Branch –
Quarterly Statistics**

January 24, 2017



PROBATIONARY REVIEWS

STATISTICS	July – Sep 2015	July – Sep 2016	% Change
DORiis Auto-Generated Cases	216	201	7%
Recycling Centers Visited:	70	48	31%
• Passed	18	14	22%
• Extended	47	34	28%
• Recommendation for Revocation	0	0	0%
• Decertified during/result of visit	5	0	100%



PROBATIONARY REVIEWS

STATISTICS	July – Sep 2015	July – Sep 2016	% Change
Recycling Centers Not Visited:	146	153	5%
• Passed	25	21	16%
• Extended	106	105	1%
• Recommendation for Revocation	1	0	100%
• Not RCs	13	21	62%
• Decertified / Abandoned	0	6	100%
• Ongoing Investigations	1	0	100%



PROBATIONARY REVIEWS

STATISTICS	July – Sep 2015	July – Sep 2016	% Change
Total Assessments	\$25,161.28	\$11,600.00	54%
Restitution	\$9,111.28	\$-	100%
Civil Penalties	\$16,050.00	\$11,600.00	28%



HANDLING FEE REVIEWS

STATISTICS	July – Sep 2016
Handling Fee Applications Reviewed	5
Handling Fee Applications Denied	5
Handling Fee Applications Released	-

Handling Fees Claimed	\$15,199.76
Handling Fees Denied	\$15,199.76
Handling Fees Released	-



HANDLING FEE REVIEWS

STATISTICS	July– Sep 2016
Total Penalty Assessments	\$1,650.00
Restitution	-
Civil Penalties	\$1,650.00



INSPECTIONS

STATISTICS	July – Sep 2015	July – Sep 2016	% Change
Recycling Center Inspections	1063	944	-11%
Notices of Non-Compliance Issued	241	232	-4%
Notices of Violation Issued	178	171	-4%
Civil Penalty Assessments	42900	43650	2%



INSPECTIONS

STATISTICS	July – Sep 2015	July– Sep 2016	% Change
Dealer Inspections	61	22	-64%
Notices of Non-Compliance Issued	19	9	-52%
Notices of Violation Issued	8	7	-13%
Civil Penalty Assessments	1950	1700	-13%
Complaints Resolved	19	16	-16%



INVESTIGATIONS

STATISTICS	July – Sep 2015	July – Sep 2016	% Change
Investigations Initiated	15	24	60%
Investigations Closed	19	8	(58%)
Fraud Detection Reviews Initiated	0	30	∞%
Fraud Detection Reviews Closed	5	42	740%



INVESTIGATIONS

STATISTICS	July– Sep 2015	July– Sep 2016	% Change
Claimed Volume Reports Initiated	18	77	328%
Claimed Volume Reports Closed	15	39	160%
Recycler Load Inspection Initiated	13	12	(8%)
Recycler Load Inspection Closed	21	4	(81%)



INVESTIGATIONS

STATISTICS	July – Sep 2015	July – Sep 2016	% Change
Call Center Cases Initiated	31	31	0%
Call Center Cases Closed	21	17	(19%)
Load Inspection/Reviews Conducted:	1,068	835	(22%)
• Denied	11	0	(100%)
• Reduced	4	4	0%
Participants Placed on Prepayment Control Status	9	7	(22%)



INVESTIGATIONS

STATISTICS	July – Sep 2015	July – Sep 2016	% Change
Forensic Reviews Completed	19	19	0%
Notices of Noncompliance Issued	31	48	55%
Notices of Violation Issued	11	20	82%
Recommendation to Revoke / Terminate Certification	2	1	(50%)
Recommendation to Deny Certification Applications	2	0	(100%)
DOJ Referrals	8	6	(25%)



IMPORTED MATERIALS

IMPORTED MATERIAL REPORT (IMR) STATISTICS	July – Sep 2015	July – Sep 2016	% Change
IMRs Received	1,741	1,580	(9%)
- Semi-Trucks	76%	83%	9%
- Baled	49%	50%	2%
- Single-Stream	52%	51%	(2%)



IMPORTED MATERIALS

IMR STATISTICS	July – Sep 2015	July – Sep 2016	% Change
Nevada	37%	41%	11%
Arizona	22%	20%	(9%)
Utah	16%	16%	0%
Oregon	8%	8%	0%



PROCESSOR OVERSIGHT

STATISTICS	July– Sep 2015	July– Sep 2016	% Change
Investigations Initiated	N/A	3	N/A
Investigations Closed	N/A	0	N/A
Fraud Detection Reviews Initiated	N/A	1	N/A
Fraud Detection Reviews Closed	N/A	0	N/A



PROCESSOR OVERSIGHT

STATISTICS	July– Sep 2015	July– Sep 2016	% Change
Claimed Volume Reports Initiated	N/A	12	N/A
Claimed Volume Reports Closed	N/A	0	N/A
Recycler Load Inspection Initiated	N/A	3	N/A
Recycler Load Inspection Closed	N/A	0	N/A



PROCESSOR OVERSIGHT

STATISTICS	July– Sep 2015	July – Sep 2016	% Change
Load Inspection/Reviews Conducted:	N/A	262	N/A
• Denied	N/A	0	N/A
• Reduced	N/A	2	N/A



DOJ INVESTIGATIONS

July – September 2016	Investigations Initiated	Investigations Closed	Arrests
Northern Recycling Team	1	0	5
Southern Recycling Team	2	2	2
Totals:	3	2	7



DOJ INVESTIGATIONS

July– Sep 2016	In-State Surveillance	Out-of-State Surveillance	Contacts	Search Warrants
Northern Recycling Team	0	13	90	1
Southern Recycling Team	21	11	5	0
Totals:	21	24	95	1



ACCUSATIONS

Accusations filed & served October 1 – December 31, 2016:

No new accusations were filed & served during this time period.



ACCUSATIONS

Accusations resolved as of December 31, 2016 ~ filed & served after CY 2013:

Participant Name	Date Filed	Amount Assessed	Date Finalized	Resolution
Valero Recycling	09/30/15	\$655,400.00	07/25/16	\$655,400.00*
Dougherty's Recycling	06/02/15	\$181,500.00	07/02/16	\$181,500.00
American Green Recycling	08/26/15	\$43,000.00	07/15/16	\$43,000.00
Jayden Recycling	11/17/15	\$713,228.12	12/13/16	\$181,500.00
	TOTAL:	\$879,900.00	TOTAL:	\$879,900.00

*Conditional waiver in place.



ACCUSATIONS

**Unresolved accusations as of December 31, 2016 ~
filed & served after CY 2013:**

Participant Name	Date Filed	Amount Assessed
J & S Recycling	02/07/13	\$206,258.61
USA Recycling	04/24/13	\$790,786.56
Eagle Recycling	07/29/14	\$2,749,279.41
Enviro Recycling	08/24/15	\$1,749,881.00
PNL Recycling	08/26/15	\$2,065,289.00



ACCUSATIONS

**Unresolved accusations as of December 31, 2016 ~
filed & served after CY 2013 (con't):**

Participant Name	Date Filed	Amount Assessed
Meija's Recycling	10/26/15	\$517,066.72
USA Waste of California	02/16/16	\$3,953,330.26
California Waste Solutions	04/28/16	\$12,433,551.00
Recycling Service Alliance	05/31/16	\$1,420,005.38
	TOTAL:	\$26,066,947.94



ACCUSATIONS

**Unresolved accusations as of December 31, 2016 ~
filed & served before CY 2013:**

Participant Name	Date Filed	Amount Assessed
Piccadilly Imports, Inc.	12/22/08	\$ 10,990.87
Villanueva Recycling	04/30/10	\$ 149,434.94
	TOTAL:	\$ 160,425.81